Express Mail Label No. EI768182393US

Attorney Docket No. 98-15

DECLARATION

As a below and named inventor, I declare that:

| original, first and join the invention entitled: SYSTEM the specific | inventor of the subject material COMBINATORIAL ELEction of which x is at | are as stated below next to a stated which is claimed and for CTROCHEMICAL DEPOS tached hereto or was filled on was filled. | led on as |
|--|---|--|--|
| amended by any amer material to the examir Section 1.56. I claim foreign application(s) | dment referred to above. I ation of this application in a foreign priority benefits und for patent or inventor's certification. | acknowledge the duty to dis accordance with Title 37, C der Title 35, United States C tificate listed below and hav | ode of Federal Regulations, Code, Section 119 of any |
| Country | Application No. | Date of Filing | Priority Claimed Under 35 USC 119 |
| | | | Yes No |
| | | | Yes No |
| I hereby claim the ber application(s) listed b | | States Code § 119(e) of any Filing | |
| | | | in d States amplication(s) |

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| Application No. | Date of Filing | Status | | |
|-----------------|--------------------|------------------------------|--|--|
| 08/941,170 | September 30, 1997 | Patented x Pending Abandoned | | |
| | | Patented Pending Abandoned | | |

Power of Attorney: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

| Full Name | Last Name | First Name | Middle Name o | r Initial | |
|---------------|--------------------------|-----------------------|------------------------|------------------------|--|
| of Inventor 1 | Warren | Christopher | J | | |
| Residence & | City | State/Foreign Country | Country of Citi: | zenship | |
| Citizenship | Mountain View | California | United States | | |
| Post Office | Post Office Address | City | State/Country | Zip Code | |
| Address | 600 Rainbow Drive, #170 | Mountain View | California | 94041 | |
| Full Name | Last Name | First Name | Middle Name o | r Initial | |
| of Inventor 2 | Haushalter | Robert | C. | | |
| Residence & | City | State/Foreign Country | Country of Citizenship | | |
| Citizenship | Los Gatos | California | United States | | |
| Post Office | Post Office Address | City | State/Country | Zip Code | |
| Address | 25259 Terrace Grove Road | Los Gatos | California | 95033 | |
| Full Name | Last Name | First Name | Middle Name o | Middle Name or Initial | |
| of Inventor 3 | Matsiev | Leonid | | | |
| Residence & | City | State/Foreign Country | Country of Citizenship | | |
| Citizenship | Cupertino | California | Russia | | |
| Post Office | Post Office Address | City | State/Country | Zip Code | |
| Address | 10350 Leola Court, #1 | Cupertino | California | 95014 | |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| \wedge \wedge \wedge | | |
|---|-------------------------|-------------------------|
| Signature of Inventor A | Signature of Inventor 2 | Signature of Inventor 3 |
| 1 1 1/4 1/1 1/4 | MIMARY C MARAININA | May 1 |
| I V V V V V V V V V V V V V V V V V V V | | Muse |
| Christopher J. Warren | Robert C, Haushalter | Leonid Matsiev |
| Date 7/16/98 | Date 7/20/48 | Date 07. 16. 98 |
| 11010 | | |

ASSOCIATE POWER OF ATTORNEY BY ASSIGNEE

SYMYX TECHNOLOGIES, INC. is the Assignee of the invention entitled:

| SIMIX IECHNOLOGIES, INC. I | s the Assignee of the invention entitled: |
|--|---|
| COMBINATORIAL ELECTROCHEMICA | L DEPOSITION AND TESTING SYSTEM |
| was filed on July 20, 1998 as Application Se | on of which is attached hereto or erial No. 09/119,187. |
| Assignee hereby appoints the follow this application and transact all business in therewith. | ing attorney(s) and/or agent(s) to prosecute he Patent and Trademark Office connected |
| Eric M. Dobrusin (Reg | v. No. 33 867) |
| Loletta L. Darden (Re | |
| Paul A. Stone (Reg. N | |
| | |
| Send Correspondence to: | Direct Telephone Calls to: |
| Prio M. Dohnsin | (Name, Reg. No., Tel. No.) |
| Eric M. Dobrusin Dobrusin Darden Thennisch Law | Eric M. Dobrusin |
| Firm PLLC | Reg. No.: 33,867 |
| 401 S. Old Woodward Ave., Stc. 311 | (248) 593-9900 |
| Birmingham, Michigan 48009 | |
| | |
| Date: Jan. 28, 2000 | SYMYX TECHNOLOGIES, INC. By: |



Attorney Docket No. 98-15

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c)) – SMALL BUSINESS CONCERN

| Applicant or Patentee: Christopher J. Warren, Robert C. Haushalter and Application or Patent No.: | Leonid Matsiev |
|---|--|
| Filed or Issued: Even Date Herewith Title: COMBINATORIAL ELECTROCHEMICAL DEPOSITION AN | D TESTING SYSTEM |
| I hereby declare that I am an official of the small business conceidentified below: | em empowered to act on behalf of the concern |
| NAME OF SMALL BUSINESS CONCERN ADDRESS OF SMALL BUSINESS CONCERN 3100 Central Ex Santa Clara, Ca | pressway |
| I hereby declare that the above-identified small business concern qualifiand reproduced in 37 CRF 1.9(d), for purposes of paying reduced fees to number of employees of the concern, including those of its affiliates, do the number of employees of the business concern is the average over the on a full-time, part-time or temporary basis during each of the pay perio other when either, directly or indirectly, one concern controls or has the or has the power to control both. | o the United States Patent and Trademark Office, in that the es not exceed 500 persons. For purposes of this statement, (1) previous fiscal year of the concern of the persons employed ds of the fiscal year, and (2) concerns are affiliates of each |
| I hereby declare the rights under contract or law have been conveyed to with regard to the invention, entitled <u>COMBINATORIAL ELECTROC</u> inventors <u>Christopher J. Warren</u> , <u>Robert C. Haushalter and Leonid Mats</u> | HEMICAL DEPOSITION AND TESTING SYSTEM by |
| [x] the specification filed herewith [] Application No. [] Patent No. | , filed |
| If the rights held by the above identified small business concern are not rights in the invention is listed below* and no rights to the invention are qualify as an independent inventor under 37 CFR 1.9(c) if that person m a small business concern under CFR 1.9(d), or a nonprofit organization *NOTE: Separate verified statements are required for each a invention averting to their status as small entities. (37 CFR | held by any person, other than the inventor, who would not nade the invention, or by any concern that would not qualify as under 37 CFR 1.9(e). named person, concern or organization having rights to the |
| Name: | |
| Address: [] Individual [x] Small Business Concer | n [] Nonprofit Organization |
| I acknowledge the duty to file, in this application or patent, notification entity status prior to paying, or at the time of paying, the earliest of the status as a small entity is no longer appropriate. (37 CFR 1.28(b)). | of any change in status resulting in loss of entitlement to small issue fee or any maintenance fee due after the date on which |
| I hereby declare that all statements made herein of my own knowledge are believed to be true; and further that these statements were made with made are punishable by fine or imprisonment, or both, under section 10 false statements may jeopardize the validity of the application, any pate statement is directed. | h the knowledge that willful false statements and the like so 01 of Title 18 of the United States Code, and that such willful nt issuing thereon, or any patent to which this verified |
| NAME OF PERSON SIGNING TITLE ISY GOLD WA President | SSER . |
| ADDRESS OF PERSON SIGNING Symyx Technologies 3100 Central Expressway Santa Clara, California 950 | 051 |
| SIGNATURE | DATE |



Express Mail NO. EL564195246US

UNITED STA. 25 DEPARTMENT OF COMMERCE

Patent and Trademark United ASSISTANT SECRETARY AND COMMISSIONER 98-1 Washington, D.C. 20231

OCTOBER 13, 1998

PTAS

SYMYX TECHNOLOGIES RONALD A. KRASNOW 3100 CENTRAL EXPRESSWAY SANTA CLARA, CA 95051



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE PLEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/20/1998

REEL/FRAME: 9340/0109

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WARREN, CHRISTOPHER J.

DOC DATE: 07/16/1998

ASSIGNOR:

HAUSHALTER, ROBERT C.

DOC DATE: 07/20/1998

ASSIGNOR:

MATSIEV, LEONID

DOC DATE: 07/16/1998

ASSIGNEE:

SYMYX TECHNOLOGIES 3100 CENTRAL EXPRESSWAY SANTA CLARA, CALIFORNIA 95051

SERIAL NUMBER: 09119187

PATENT NUMBER:

FILING DATE: 07/20/1998

ISSUE DATE:

RECEIVED

OCT 179 1998

Symyx Technologies Legal Department

20

SHARMALLA SIMPSON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS









July 20, 1998

VIA EXPRESS MAIL

Assistant Commissioner for Patents Box: Assignments Washington, D.C. 20231

Express Mail No. EI768182393US Date of Deposit: July 20, 1998

Re: Recordation of Assignment

Dear Sir:

Please record the attached original assignment document.

- 1 Names of conveying parties: Christopher J. Warren, Robert C. Haushalter and Leonid Matsiev
- 2. Name and Address of Receiving Party: Symyx Technologies

3100 Central Expressway Santa Clara, CA 95051

- Nature of Conveyance: Assignment executed on July 17, 1998 and July 20, 1998. 3.
- 4. This document is being filed together with a new utility patent application.

119181 5. Correspondence address:

Ronald A. Krasnow

07/27/1998 DNGUYEN 00000168 500496 09119187 Symyx Technologies

01 FC:581 40.00 CH 3100 Central Expressway Santa Clara, CA 95051

- Total number of applications involved = 16.
- Total fee: \$40. Please charge deposit account 50-0496 for this fee. A duplicate copy of 7. this cover letter is enclosed.

Assistant Commissioner for tents Page 2 July 20, 1998

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

The total number of pages, including cover letter and assignment is four.

Respectfully Submitted,

Ronald A. Krasnow Registration No. 33,321

Enclosure

Melissa D'Antonio Comm. #1155065 EDTARY PUBLIC - CALIFORNIA HANTA GLARA COUNTY GLARA (SUPL)

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Christopher J. Warren of 600 Rainbow Drive, #170, Mountain View, California 94041, Robert C. Haushalter of 25259 Terrace Grove Road, Los Gatos, California 95033 and Leonid Matsiev of 10350 Leola Court, #1, Cupertino, California 95014, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letter Patent:

Title of Invention: COMBINATORIAL ELECTROCHEMICAL DEPOSITION AND TESTING

SYSTEM

WITNESS my hand and official seal

| Filing Date: Even Date Herewith Application No.: | _; and |
|---|--|
| WHEREAS, Symyx Technologies, a California corporation, located at 3100 Central Expressway, S Clara, California 95051, hereinafter referred to as "Assignee," is desirous of acquiring an interest in invention and application and in any Letters Patent and Registrations which may be granted on the s | the |
| For good and valuable consideration, receipt of which is hereby acknowledged by Assignor Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in a the invention and application, and to all foreign counterparts (including patent, utility model and includings), and in and to any Letters Patent and Registrations which may hereafter be granted on the sthe United States and all countries throughout the world, and to claim the priority from the application provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed leads assignors had this assignment not been made, for the full term of any Letters Patent and Registration which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution conversion, reissue, prolongation or extension thereof. | nd to dustrial same in on as e and by |
| Assignors further agree that they will, without charge to Assignee, but at Assignee's expending cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on invention and any improvements, (b) execute, verify, acknowledge and deliver all such further paper including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successor assigns. IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated. | the ers, e |
| Date: Califocnia Christopher J. Warren | |
| STATE OF CALIFORNIA COUNTY OF Santa Clara | |
| On July 10,1998, before me, Melissa D'Antonio, Notary Public, personally appeared Christopher J. Warren, personally known to me (or proved to me on the basis of satisfactory eviden be the person whose name is subscribed to the within instrument and acknowledged to me that he exthe same in his authorized capacity, and that by his signature on the instrument the person, or the enupon behalf of which the person acted, executed the instrument. | xecuted |

| At * | Date: 7 20 98 Palat 6 Hambelton | |
|------|---|------------------|
| | STATE OF California COUNTY OF Santa Clara | • |
| | On July 20,1998, before me, Melissa D'Antonio, Notary Public, personally appeared Rob C. Haushalter, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity up behalf of which the person acted, executed the instrument. | e ie |
| | Signature (Seal) Witness my hand and official seal (Seal) Melissa D'Anton Comm. #115508 SANTA CLARA COUNT Comm. Exp. Sept. 11, 2 | 3 |
| | Date: 07.16.98 | |
| | Date: 07.16.98 Leonid Matsiev STATE OF CALIFORNIA COUNTY OF SANTA CLARA | |
| | On July 16, 1998, before me, Melissa D'Antonio, Notary Public, personally appeared Leo | |
| | Matsiev, personally known to me (or proved to me on the basis of satisfactory evidence) to be the personance is subscribed to the within instrument and acknowledged to me that he executed the same his authorized capacity, and that by his signature on the instrument the person, or the entity upon behal which the person acted, executed the instrument. | in |
| | WITNESS my hand and official seal Signature (Seal) Wellssa D'Anton Comm. #1155065 NOTARY PUBLIC - CALIFOR SANTA CLARA COUNTY Comm. Exp. Sept. 11, 20 | Q _{NIM} |
| | | |

V